

# Kondo Effect in an Electron System with Dynamical Jahn-Teller Impurity

Takashi Hotta

*Advanced Science Research Center, Japan Atomic Energy Agency, Tokai, Ibaraki 319-1195*

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We investigate how Kondo phenomenon occurs in the Anderson model dynamically coupled with local Jahn-Teller phonons. It is found that the total angular moment composed of electron pseudo-spin and phonon angular moments is screened by conduction electrons. Namely, phonon degrees of freedom essentially contribute to the formation of singlet ground state. A characteristic temperature of the Kondo effect due to dynamical Jahn-Teller phonons is explained by an effective *s-d* Hamiltonian with anisotropic exchange interaction obtained from the Jahn-Teller-Anderson model in a non-adiabatic region.

**KEYWORDS:** dynamical Jahn-Teller effect, Kondo effect, Anderson model, *s-d* model

Recent discovery of exotic heavy-fermion phenomenon in filled skutterudite compound  $\text{SmOs}_4\text{Sb}_{12}$ <sup>1,2</sup> has triggered rapid increase of renewed attention to quasi-Kondo phenomena with phononic origin. In particular, it has been clarified that the specific heat coefficient is almost independent of an applied magnetic field.<sup>1</sup> Namely, the heavy-fermion behavior in  $\text{SmOs}_4\text{Sb}_{12}$  is magnetically robust. It has been pointed out that this peculiar phenomenon can be understood by non-magnetic Kondo effect originating from phonons.<sup>3</sup>

In general, the Kondo-like phenomenon occurs in a conduction electron system hybridized with a localized entity with internal degrees of freedom. When electrons are coupled with Einstein phonons, a double-well potential is formed in an adiabatic approximation, leading naturally to a two-level system, in which Kondo has first considered a possibility of non-magnetic Kondo behavior.<sup>4</sup> The two-level Kondo system exhibits the same behavior as the magnetic Kondo effect.<sup>5</sup> Further intensive efforts to include the low-lying levels of local phonon have been made to clarify the quasi-Kondo behavior in electron-phonon systems.<sup>3,6</sup>

In the filled skutterudite structure, rare-earth ion is surrounded by the cage composed of twelve pnictogens. Then, the rare-earth ion easily moves around potential minima in off-center positions inside the pnictogen cage. This is called the rattling, which is considered to be a key ingredient with significant influence on electronic properties of filled skutterudites. As a natural extension of the two-level Kondo problem, Hattori *et al.* have analyzed the four- and six-level Kondo systems to consider the effect of rattling in filled skutterudites.<sup>7</sup> Their results seem to be consistent with the magnetically robust heavy-fermion behavior observed in  $\text{SmOs}_4\text{Sb}_{12}$ .

Concerning the problem of rattling, the measurement of elastic constant of  $\text{PrOs}_4\text{Sb}_{12}$  has suggested that the off-center motion of Pr atom inside the Sb cage has degenerate  $E_g$  symmetry.<sup>8</sup> When we attempt to include the effect of off-center motion into a model Hamiltonian, a simple way is to regard the rattling as relative vibration of surrounding atoms, leading to an electron-phonon interaction term. In a phenomenological level, the present author has considered a linear coupling between degenerate *f*-electron orbitals with  $e_u$  symmetry and dynamical Jahn-Teller phonons with  $E_g$  symmetry in a multiorbital Anderson model constructed based on a *j-j* coupling scheme.<sup>9-12</sup> Numerical analysis of this model has revealed that quasi-Kondo behavior occurs due

to the release of an entropy log 2 of the vibronic ground state, originating from the clockwise and anti-clockwise rotational modes of dynamical Jahn-Teller phonons.<sup>9</sup> This scenario is believed to have a potential to explain the Kondo effect with non-magnetic origin in filled skutterudites,<sup>11,12</sup> but due to the complexity of the model including three orbitals, the mechanism of quasi-Kondo phenomenon due to dynamical Jahn-Teller phonons has not been completely understood.

In this letter, the Anderson model dynamically coupled with local Jahn-Teller phonons is numerically analyzed. Here spin degree of freedom is suppressed for simplicity, but orbital degree of freedom plays a role of pseudo-spin. It is shown that total angular moment  $J$ , composed of electron pseudo-spin and phonon angular moments, is screened by conduction electrons, leading to the singlet ground state of  $J = 0$ . A characteristic temperature  $T_K$  defined by a peak in the specific heat is discussed on the basis of the *s-d* Hamiltonian derived from the Jahn-Teller-Anderson model in the non-adiabatic region. To complete the discussion, we also consider  $T_K$  in the adiabatic region.

Let us introduce the Anderson model coupled with local Jahn-Teller phonons. In order to focus on the effect of coupling between electrons and local phonons, we consider active orbital degree of freedom, but for simplicity, spin degree of freedom is suppressed. Then, the model is expressed as<sup>13</sup>

$$H = \sum_{\mathbf{k}\tau} \varepsilon_{\mathbf{k}} c_{\mathbf{k}\tau}^\dagger c_{\mathbf{k}\tau} + \sum_{\mathbf{k}\tau} (V c_{\mathbf{k}\tau}^\dagger d_\tau + \text{h.c.}) + H_{\text{loc}}, \quad (1)$$

where  $\varepsilon_{\mathbf{k}}$  is the dispersion of conduction electron,  $c_{\mathbf{k}\tau}$  is an annihilation operator of conduction electron with momentum  $\mathbf{k}$  and orbital  $\tau$ ,  $d_\tau$  is an annihilation operator of localized electron with orbital  $\tau$  on an impurity site, and  $V$  is the hybridization between conduction and localized electrons. We set  $V = 0.25$  and the energy unit is a half of the conduction bandwidth,  $D$ , which is set as 1 eV throughout this paper.

The local electron term  $H_{\text{loc}}$  is given by

$$H_{\text{loc}} = U n_a n_b + \mu \rho + H_{\text{eph}}, \quad (2)$$

where  $U$  denotes inter-orbital Coulomb interaction,  $n_\tau = d_\tau^\dagger d_\tau$ ,  $\rho = n_a + n_b$ , and  $\mu$  is a chemical potential. In this paper, we consider the symmetric case with  $\mu = -U/2$ . The electron-phonon coupling term  $H_{\text{eph}}$  is given by

$$H_{\text{eph}} = g(Q_2 \tau_x + Q_3 \tau_z) + (P_2^2 + P_3^2)/2 + \omega^2 (Q_2^2 + Q_3^2)/2, \quad (3)$$

where  $g$  is the electron-phonon coupling constant,  $Q_2$  and  $Q_3$  are normal coordinates for  $(x^2 - y^2)$ - and  $(3z^2 - r^2)$ -type Jahn-Teller phonons, respectively,  $P_2$  and  $P_3$  are corresponding canonical momenta,  $\tau_x = d_a^\dagger d_b + d_b^\dagger d_a$ ,  $\tau_z = d_a^\dagger d_a - d_b^\dagger d_b$ , and  $\omega$  is the frequency of local Jahn-Teller phonons. Note that the reduced mass is set as unity. When we introduce phonon operators  $a_2$  and  $a_3$  through  $Q_2 = (a_2 + a_2^\dagger)/\sqrt{2\omega}$  and  $Q_3 = (a_3 + a_3^\dagger)/\sqrt{2\omega}$ ,  $H_{\text{eph}}$  is rewritten as

$$\begin{aligned} H_{\text{eph}} = & \omega\sqrt{\alpha}[(a_2 + a_2^\dagger)\tau_x + (a_3 + a_3^\dagger)\tau_z] \\ & + \omega(a_2^\dagger a_2 + a_3^\dagger a_3 + 1), \end{aligned} \quad (4)$$

where  $\alpha$  is the non-dimensional electron-phonon coupling constant, defined as  $\alpha = g^2/(2\omega^3)$ .

Here we consider the conserved quantity of  $H_{\text{eph}}$ .<sup>14</sup> Using the commutation relation  $[\tau_\alpha, \tau_\beta] = 2i\epsilon_{\alpha\beta\gamma}\tau_\gamma$  with the Levi-Civita tensor  $\epsilon$ , we obtain  $[H_{\text{eph}}, J] = 0$ , where  $J$  denotes total angular moment, defined by  $J = L_y + \tau_y/2$  with  $L_y = -i(a_3^\dagger a_2 - a_2^\dagger a_3)$  and  $\tau_y = -i(d_a^\dagger d_b - d_b^\dagger d_a)$ . It is convenient to introduce the electron and phonon bases to diagonalize  $\tau_y$  and  $L_y$ , respectively. Namely, we introduce  $b_\pm^\dagger = (a_3^\dagger \pm ia_2^\dagger)/\sqrt{2}$  and  $d_\sigma^\dagger = (d_a^\dagger \pm id_b^\dagger)/\sqrt{2}$ , where  $\sigma$  denotes a pseudospin and  $\uparrow$  ( $\downarrow$ ) corresponds to  $+$  ( $-$ ) sign. Then, we obtain

$$\begin{aligned} H_{\text{loc}} = & Un_\uparrow n_\downarrow + \mu\rho + \omega(b_+^\dagger b_+ + b_-^\dagger b_- + 1) \\ & + \omega\sqrt{2\alpha}[(b_+ + b_-^\dagger)\sigma_+ + (b_- + b_+^\dagger)\sigma_-], \end{aligned} \quad (5)$$

where  $n_\sigma = d_\sigma^\dagger d_\sigma$ ,  $\rho = n_\uparrow + n_\downarrow$ ,  $\sigma_+ = d_\uparrow^\dagger d_\downarrow$ , and  $\sigma_- = d_\downarrow^\dagger d_\uparrow$ . The total angular moment  $J$  is given by

$$J = L_z + \sigma_z/2, \quad (6)$$

where  $L_z = b_+^\dagger b_+ - b_-^\dagger b_-$  and  $\sigma_z = d_\uparrow^\dagger d_\uparrow - d_\downarrow^\dagger d_\downarrow$ . Corresponding to the above transformations, we also introduce  $c_{k\sigma}^\dagger = (c_{ka}^\dagger \pm ic_{kb}^\dagger)/\sqrt{2}$  for conduction electrons. Then, the whole Hamiltonian is still expressed as eq. (1), when we replace orbital index  $\tau$  with pseudo-spin  $\sigma$ .

Let us briefly discuss the vibronic state of  $H_{\text{loc}}$  at half-filling. The  $k$ th eigen-state of  $H_{\text{loc}}$  labeled by  $J$  is given by

$$|\Phi_{\text{loc}}^{(k,J)}\rangle = \sum_{n=0}^{\infty} \left[ p_{k,n}^{(J)} d_\uparrow^\dagger |J - \frac{1}{2}; n\rangle + q_{k,n}^{(J)} d_\downarrow^\dagger |J + \frac{1}{2}; n\rangle \right], \quad (7)$$

where  $|L; n\rangle = |L + n, n\rangle$  for  $L \geq 0$  and  $|n, n + |L|\rangle$  for  $L < 0$  with  $|n_+, n_-\rangle = (1/\sqrt{n_+!n_-!})(a_+^\dagger)^{n_+}(a_-^\dagger)^{n_-}|0\rangle$  and the vacuum state  $|0\rangle$ . The corresponding eigen-energy is given by  $E_{\text{loc}}^{(k,J)} + \mu$ . Note that  $J$  takes half-odd-integer values as  $J = \pm 1/2, \pm 3/2, \pm 5/2, \dots$  and the coefficients satisfy the relations of  $p_{k,n}^{(-J)} = q_{k,n}^{(J)}$  and  $q_{k,n}^{(-J)} = p_{k,n}^{(J)}$ . The double degeneracy in each eigen-state originates from the rotational mode of Jahn-Teller phonons. The ground state is specified by  $J = \pm 1/2$  and  $k = 1$ . It is clearly observed that the phonon state with  $L_z = J + 1/2$  ( $J - 1/2$ ) is coupled with the electron state with pseudo-spin  $S_z = -1/2$  ( $+1/2$ ), leading to the vibronic state specified by total angular momentum  $J$ .

In order to investigate electronic and phononic properties of  $H$  at low temperatures, we usually discuss corresponding susceptibilities. The susceptibility of an arbitrary operator  $A$  is expressed by

$$\chi_A = \frac{1}{Z} \sum_{n,m} \frac{e^{-E_n/T} - e^{-E_m/T}}{E_m - E_n} |\langle m|A|n\rangle|^2, \quad (8)$$

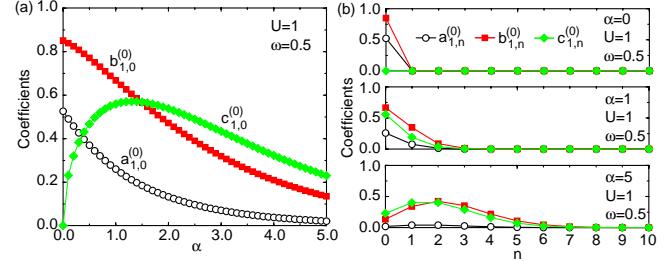


Fig. 1. (Color online) (a) Coefficients in  $|\Phi_0^{(1,0)}\rangle$  vs.  $\alpha$  for  $U = 1$  and  $\omega = 0.5$ . (b) Coefficients in  $|\Phi_0^{(1,0)}\rangle$  vs.  $n$  for  $\alpha = 0, 1$ , and  $5$ .

where  $E_n$  is the eigen-energy for the  $n$ th eigenstate  $|n\rangle$  of  $H$  and  $Z$  is the partition function given by  $Z = \sum_n e^{-E_n/T}$ . For the evaluation of susceptibilities, here we employ a numerical renormalization group (NRG) method,<sup>15</sup> in which momentum space is logarithmically discretized to include efficiently the conduction electrons near the Fermi energy and the conduction electron states are characterized by “shell” labeled by  $N$ . The shell of  $N = 0$  denotes an impurity site described by the local Hamiltonian. The Hamiltonian is transformed into the recursion form as

$$H_{N+1} = \sqrt{\Lambda} H_N + t_N \sum_{\sigma} (c_{N\sigma}^\dagger c_{N+1\sigma} + c_{N+1\sigma}^\dagger c_{N\sigma}), \quad (9)$$

where  $\Lambda$  is a parameter for logarithmic discretization,  $c_{N\sigma}$  denotes the annihilation operator of conduction electron in the  $N$ -shell, and  $t_N$  indicates “hopping” of electron between  $N$ - and  $(N+1)$ -shells, expressed by

$$t_N = \frac{(1 + \Lambda^{-1})(1 - \Lambda^{-N-1})}{2\sqrt{(1 - \Lambda^{-2N-1})(1 - \Lambda^{-2N-3})}}. \quad (10)$$

The initial term  $H_0$  is given by

$$H_0 = \Lambda^{-1/2} [H_{\text{loc}} + \sum_{\sigma} V(c_{0\sigma}^\dagger d_{\sigma} + d_{\sigma}^\dagger c_{0\sigma})]. \quad (11)$$

We also evaluate entropy  $S_{\text{imp}}$  and specific heat  $C_{\text{imp}}$  of localized electron. In the NRG calculations, a temperature  $T$  is defined as  $T = \Lambda^{-(N-1)/2}$ . In this paper, we set  $\Lambda = 2.5$  and we keep  $M = 5000$  low-energy states for each renormalization step. The phonon basis for each Jahn-Teller mode is truncated at a finite number  $N_{\text{ph}}$ , which is set as  $N_{\text{ph}} = 30$ .

Before proceeding to the NRG results, it is useful to examine the vibronic state of  $H_0$ . The  $k$ th eigen-state of  $H_0$  is found to be expressed as<sup>16</sup>

$$\begin{aligned} |\Phi_0^{(k,J)}\rangle = & \sum_{n=0}^{\infty} \left[ \frac{a_{k,n}^{(J)}}{\sqrt{2}} (c_{0\uparrow}^\dagger c_{0\downarrow}^\dagger + d_{0\uparrow}^\dagger d_{0\downarrow}^\dagger) |J; n\rangle \right. \\ & + \frac{b_{k,n}^{(J)}}{\sqrt{2}} (c_{0\uparrow}^\dagger d_{0\downarrow}^\dagger - d_{0\uparrow}^\dagger c_{0\downarrow}^\dagger) |J; n\rangle \\ & \left. + \frac{c_{k,n}^{(J)}}{\sqrt{2}} (c_{0\uparrow}^\dagger d_{0\uparrow}^\dagger |J-1; n\rangle - c_{0\downarrow}^\dagger d_{0\downarrow}^\dagger |J+1; n\rangle) \right], \end{aligned} \quad (12)$$

where  $J$  takes an integer. Note that the ground state is characterized by  $J = 0$  and  $k = 1$ .

In Fig. 1(a), we plot the coefficients  $a_{1,0}^{(0)}$ ,  $b_{1,0}^{(0)}$ , and  $c_{1,0}^{(0)}$  vs.  $\alpha$  in the ground state for  $U = 1$  and  $\omega = 0.5$ . At  $\alpha = 0$ , the system is described by the Anderson model and we find the

singlet ground state in which total spin is zero. When we increase  $\alpha$ , the component of  $c_{1,0}^{(0)}$  is rapidly increased. Namely, the phonon state with  $L_z = +1(-1)$  is coupled to the electron state with total pseudo-spin  $S_z^{\text{tot}} = -1(+1)$ , leading to the singlet state with  $J = 0$ . When we further increase  $\alpha$ , multi-phonon components with  $n \geq 1$  become significant, as shown in Fig. 1(b). The broad peaks in the coefficients are shifted to larger  $n$  with increasing  $\alpha$ . In short, the singlet ground state with  $J = 0$  includes the significant component of phonon state with the moment  $L_z = \pm 1$ , which is cancelled by the pseudo-spin moment of the electron state with  $S_z^{\text{tot}} = \mp 1$ . It is emphasized that phonon degrees of freedom essentially contribute to the formation of the singlet ground state, which is an important point of the Kondo effect in an electron system with dynamical Jahn-Teller impurity.

Let us now discuss the NRG results of the Jahn-Teller-Anderson model. In Fig. 2(a), we show entropy and specific heat for  $\alpha = 0.3$ ,  $\omega = 0.5$ , and  $U = 1$ . An entropy of  $\log 2$  originates from the double degeneracy in the local vibronic state with  $J = \pm 1/2$ , corresponding to clockwise and anti-clockwise rotational modes of dynamical Jahn-Teller phonons.<sup>9</sup> Here we define  $T^*$  as a temperature at which the entropy becomes  $\log 2$ . In Fig. 2(a), we obtain  $T^* \sim 0.03$ . When we decrease temperature, the entropy of  $\log 2$  is eventually released and we observe a peak in the specific heat, which defines a characteristic temperature  $T_K$ . In Fig. 2(a), we obtain  $T_K \sim 3 \times 10^{-6}$ . The Kondo phenomenon due to dynamical Jahn-Teller phonons is clearly observed for the case of  $T_K \ll T^*$ , which is satisfied in the non-adiabatic region.

In Fig. 2(b), the results of several kinds of susceptibilities are shown. We find that  $\chi_{\sigma_x} = \chi_{\sigma_y} > \chi_{\sigma_z}$ , where  $\sigma_x = \sigma_+ + \sigma_-$  and  $\sigma_y = -i(\sigma_+ - \sigma_-)$ , due to the effect of Jahn-Teller phonons coupled with quadrupole operators  $\tau_z$  and  $\tau_x$ , which are  $\sigma_x$  and  $\sigma_y$ , respectively, in the pseudo-spin notation. We remark that charge susceptibility immediately vanishes at high temperatures, indicating that charge degree of freedom is irrelevant to the present Kondo-like phenomenon. On the other hand, pseudo-spin susceptibilities and  $\chi_J$  are suppressed around at  $T = T_K$ . Due to the discussion on the vibronic state of  $H_0$ , we deduce that the total moment  $J$ , composed of pseudo spin and phonon angular moments, is screened to form the singlet ground state of  $J = 0$ . In order to confirm that the present behavior is really the Kondo effect concerning  $J$ , we check that  $\chi_J$  is scaled by a single parameter  $T_K$ . In Fig. 2(c), we plot  $T\chi_J$  as a function of  $T/T_K$  for  $\alpha = 0.1 \sim 0.7$ . We actually find that  $T\chi_J$  is expressed by a single function of  $T/T_K$  within the numerical precision, except for the high-temperature region in which the renormalization is not enough. Note that the pseudo-spin susceptibility does not satisfy such a scaling relation.

In order to understand the behavior of  $T_K$ , it is useful to derive an effective model from  $H$  by using the perturbation expansion in terms of  $V$ . Note that the condition for the expansion is given by  $\omega \gg 2\Delta$ , where  $\Delta = \pi\rho_0 V^2$  is the width of the virtual bound state and  $\rho_0$  is the density of state of the conduction electron at the Fermi level. Namely, the following effective model is valid in the non-adiabatic region with large phonon energy. The adiabatic region will be discussed later.

Here we distinguish the degenerate ground state of  $H_{\text{loc}}$  as  $|R\rangle = |\Phi_{\text{loc}}^{(1,1/2)}\rangle$  and  $|L\rangle = |\Phi_{\text{loc}}^{(1,-1/2)}\rangle$ , where “R” and “L”

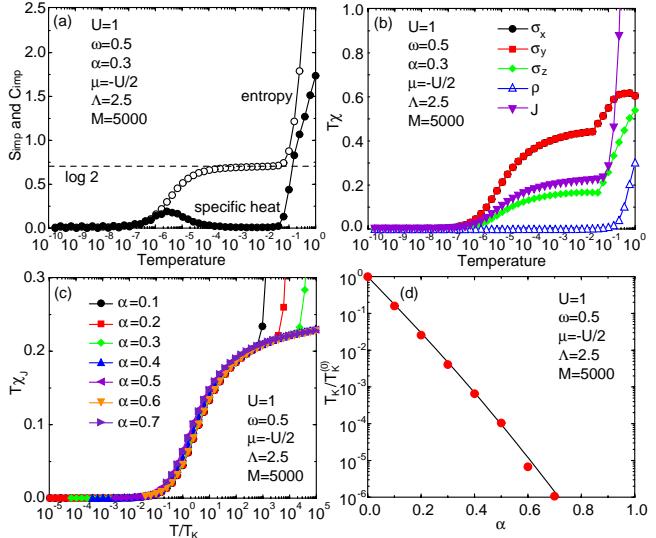


Fig. 2. (Color online) (a) Entropy and specific heat for  $\alpha = 0.3$ ,  $\omega = 0.5$ , and  $U = 1$ . (b) Susceptibilities for pseudo spin, charge, and total angular moment for the same parameters as in (a). (c)  $T\chi_J$  as a function of the scaled temperature  $T/T_K$  for  $\alpha = 0.1 \sim 0.7$ . (d)  $T_K/T_K^{(0)}$  vs.  $\alpha$  for  $U = 1$  and  $\omega = 0.5$ . Solid circles denote the numerical results, while a solid curve indicates the analytic result of the *s-d* model.

denote the rotational directions and the ground-state energy is given by  $E_{\text{loc}}^{(1,1/2)} + \mu$ . After some algebraic calculations, we obtain the effective *s-d* model as

$$H_{\text{eff}} = \sum_{\mathbf{k}\sigma} \varepsilon_{\mathbf{k}} c_{\mathbf{k}\sigma}^\dagger c_{\mathbf{k}\sigma} + \sum_{\mathbf{k}, \mathbf{k}'} [J_z(c_{\mathbf{k}\uparrow}^\dagger c_{\mathbf{k}'\uparrow} - c_{\mathbf{k}\downarrow}^\dagger c_{\mathbf{k}'\downarrow})S_z + J_\perp(c_{\mathbf{k}\downarrow}^\dagger c_{\mathbf{k}'\uparrow} S_+ + c_{\mathbf{k}\uparrow}^\dagger c_{\mathbf{k}'\downarrow} S_-)], \quad (13)$$

where  $S_z = (|R\rangle\langle R| - |L\rangle\langle L|)/2$ ,  $S_+ = |R\rangle\langle L|$ ,  $S_- = |L\rangle\langle R|$ , and the exchange interactions are given by

$$J_\perp = \sum_{n=0}^{\infty} \frac{2V^2[p_{1,n}^{(1/2)}]^2}{U/2 - E_{\text{loc}}^{(1,1/2)} + \omega(2n+1)}, \quad (14)$$

and

$$J_z = J_\perp - \sum_{n=0}^{\infty} \frac{2V^2[q_{1,n}^{(1/2)}]^2}{U/2 - E_{\text{loc}}^{(1,1/2)} + \omega(2n+2)}. \quad (15)$$

Note here that  $J_\perp > J_z$  for  $\alpha > 0$ . Namely, the significant effect of non-adiabatic phonons appears in the enhancement of the transverse component of the exchange interaction. This is consistent with  $\chi_{\sigma_x} = \chi_{\sigma_y} > \chi_{\sigma_z}$  for  $T > T_K$  in Fig. 2(b), since Jahn-Teller phonons are coupled with  $\sigma_+$  and  $\sigma_-$ . In other words, the directions of the rotational Jahn-Teller mode are easily converted for high-energy phonons.

For the *s-d* model with anisotropic exchange interaction, Shiba has obtained the explicit expression for the binding energy  $\tilde{E}$ .<sup>17</sup> When we define the Kondo temperature  $T_K$  as  $T_K = -\tilde{E}$ , we obtain  $T_K$  as<sup>17</sup>

$$T_K = D \exp \left[ \frac{-1}{2\rho_0 \sqrt{J_\perp^2 - J_z^2}} \tan^{-1} \left( \frac{\sqrt{J_\perp^2 - J_z^2}}{J_z} \right) \right]. \quad (16)$$

In Fig. 2(d), we depict  $T_K/T_K^{(0)}$  vs.  $\alpha$ , where  $T_K^{(0)}$  is the Kondo temperature of the Anderson model with  $\alpha = 0$ . Numerical results are shown by solid symbols. Note that  $T_K$  as

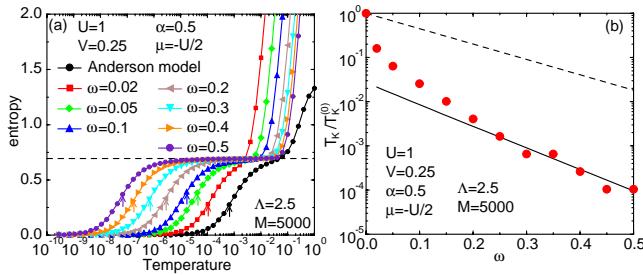


Fig. 3. (Color online) (a) Entropies for  $\omega = 0.02 \sim 0.5$  with  $U = 1$  and  $\alpha = 0.5$ . Arrows denote the Kondo temperatures defined by the peaks in the specific heat. (b)  $T_K/T_K^{(0)}$  vs.  $\omega$  for  $U = 1$  and  $\alpha = 0.5$ . Solid symbol denotes the numerical results. Solid and broken curves indicate the analytic results for non-adiabatic and adiabatic cases, respectively.

well as  $T_K^{(0)}$  in the numerical results is defined as a temperature which shows the peak in the specific heat. Analytic result is depicted by a solid curve, which indicates eq. (16) divided by  $T_K^{(0)} = De^{-1/(2J_0\rho_0)}$  with  $J_0 = 4V^2/U$ . We find that the numerical results agree well with the analytic curve for the *s-d* model with the anisotropic exchange interaction.

Thus far, we have concentrated on the non-adiabatic region, since we are interested in the Kondo effect due to *dynamical* Jahn-Teller phonons, but in order to complete the discussion, let us also discuss the adiabatic region. In Fig. 3(a), we show entropies for several values of  $\omega$  with  $U = 1$  and  $\alpha = 0.5$ . When  $\omega$  is decreased,  $T^*$  is decreased and it gradually approaches  $T_K^{(0)}$ . In Fig. 3(b),  $T_K/T_K^{(0)}$  is plotted as a function of  $\omega$  for  $U = 1$  and  $\alpha = 0.5$ . For  $\omega > 0.2$ , the numerical results are well reproduced by the analytic expression of the *s-d* model, since  $T^*$  and  $T_K^{(0)}$  are clearly separated. However, for smaller  $\omega$ , the numerical results are significantly deviated from the solid curve of eq. (16). In the adiabatic region, as shown in Fig. 3(a),  $T^*$  becomes comparable with  $T_K^{(0)}$  and the effective *s-d* model for non-adiabatic phonons loses its physical meaning.

For adiabatic Jahn-Teller phonons, we intuitively understand the decrease of  $T_K$  with increasing  $\alpha$  due to the effective enhancement of  $U$  by the static Jahn-Teller energy  $E_{JT}$ .<sup>18–20</sup> In fact, the Kondo temperature in the adiabatic region is given by  $T_K^{ad} = De^{-1/(2J_{eff}\rho_0)}$ , where  $J_{eff} = 4V^2/(U + 4E_{JT})$  with  $E_{JT} = \alpha\omega$ . In Fig. 3(b), we show  $T_K^{ad}/T_K^{(0)}$  by a broken curve. It is considered that the numerical results should be gradually changed from the solid to the broken curve with decreasing  $\omega$ . In order to observe such a gradual change more clearly, it is necessary to carry out the calculations for  $\omega$  less than 0.01. However, in the present value of  $M = 5000$ , it is quite difficult to perform the NRG calculations for  $\omega < 0.01$  with sufficient precision, since low-energy phonon excited states cannot be fully included. For the purpose to include the adiabatic phonons effectively, it is necessary to improve significantly the NRG calculations, not by simply increasing the value of  $M$ . For instance, it may be important to include phonon excitations as the polaron effect. We postpone such effort as one of future problems.

Throughout this paper, we have ignored spin degree of freedom. In spite of this simplification, we have found the interesting phenomenon that Jahn-Teller phonons contribute to the singlet formation. Note that this Kondo effect is related to or-

bital degree of freedom. In actual materials, of course, there should exist active spin degree of freedom. In this sense, it is necessary to analyze the two-orbital Anderson model including Jahn-Teller phonons which are coupled with orbital (quadrupole) degree of freedom. In particular, it is an interesting problem to clarify how the Kondo effect due to dynamical Jahn-Teller phonons occurs in the spin-orbital system. It is another future problem.

Since in general, a spin-orbit interaction is strong for *f* electrons, readers may think that it is meaningless to use the model with spin and/or orbital degrees of freedom for *f*-electron systems. However, by exploiting the *j-j* coupling scheme, it is possible to construct microscopic models for *f*-electron systems,<sup>21–23</sup> in which spin degree of freedom is introduced to distinguish the degenerate states in the Kramers doublet, while orbital degree of freedom distinguishes the different kinds of Kramers doublets. Thus, it is meaningful to consider the spin-orbital model for *f*-electron systems.

Note, however, that relevant degree of freedom of *f* electron is generally described by *multipole*. In the total angular momentum  $J$ ,  $\tau_y$  is 2u octupole with xyz symmetry and  $L_y$  denotes Jahn-Teller phonon angular moment, indicating that  $J$  does *not* include the magnetic moment. Thus, in principle, the Kondo phenomenon due to dynamical Jahn-Teller phonons is distinguished from the standard Kondo effect concerning the magnetic moment. However, the dominant multipole degree of freedom is changed according to the crystalline electric field (CEF) ground state. Furthermore, some multipoles are mixed depending on the crystal structure, CEF parameters, and *f*-electron number. The Kondo phenomenon due to dynamical Jahn-Teller phonons in actual *f*-electron materials such as Sm-based filled skutterudites will be discussed in detail elsewhere.<sup>12</sup>

In summary, we have studied the Kondo phenomenon in the Anderson model coupled with dynamical Jahn-Teller phonons. It has been found that total angular momentum  $J$  composed of the electron pseudo-spin and phonon angular moments is screened by conduction electrons to form the singlet ground state of  $J = 0$ . We have shown that the characteristic temperature is well explained by the *s-d* model with anisotropic exchange interaction derived from the Jahn-Teller-Anderson model in the non-adiabatic region.

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